,	X	4.		POZ BOC'A PCT/PTO 07 SEP 2005			
ORMF	TO-139	(Modified) U.S. PATENT AND TRADEM	E; U.S. DEPARTMENT OF COMMERCE	ATTORNEY DCKET NUMBER			
KEV. I	TR/	ANSMITTAL LETTER	TO THE UNITED STATES	112701-610			
4.	г	ESIGNATED/FLECTE	D OFFICE (DO/EO/US)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)			
ć	•		SION UNDER 35 U.S.C. 371	10/526,576			
			INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED			
NIEF		ONAL APPLICATION NO. PCT/EP03/08745	7 August 2003	4 September 2002			
PROC	CESS VED	VENTION FOR PREPARING AN OIL CO FROM BIOMASS; FOODSTU  (S) FOR DO/EO/US	ONTAINING ONE OR MORE LONG-CH FF OR NUTRITIONAL, COSMETIC OR	AIN POLYUNSATURATED FATTY ACIDS PHARMACEUTICAL COMPOSITION			
	olet e						
Applic	ant he	erewith submits to the United State	es Designated/Elected Office (DO/EO/US) the	following items and other information:			
1.		This is a FIRST submission of ite	ms concerning a submission under 35 U.S.C.	371.			
1. 2.	$\boxtimes$		ENT submission of items concerning a submis				
3.	Ø	This is an express request'to beg		. 371(f)). The submission must include items (5), (6),			
٠.		(9) and (24) indicated below.					
4.		The US has been elected (Article					
5.		• • • • • • • • • • • • • • • • • • • •	ation as filed (35 U.S.C. 371 (c)(2))				
	a.  is attached hereto (required only if not communicated by the International Bureau).						
		· —	d by the International Bureau.	Charles (POILIO)			
	_	c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6.			of the International Application as filed (35 U.S	s.C. 3/1(c)(2)).			
		a. is attached hereto.	25 H C O 454/dV4)				
_	_	b. has been previously submitted under 35 U.S.C. 154(d)(4).  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))					
7.			quired only if not communicated by the Interna	1			
			ed by the International Bureau.	monal buleauj.			
		· <del>-</del>	owever, the time limit for making such amendr	ments has NOT expired			
		d. have not been made an		mente nad No i expired.			
Ω		***************************************		uticle 19 (35 U.S.C. 371(c)(3)).			
8. 9.	Ø	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).					
10.				Examination Report under PCT			
		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).					
11.		A copy of the International Preliminary Examination Report (PCT/IPEA/409).					
12.		A copy of the International Searc	h Report (PCT/ISA/210).				
Ite	ems 1	3 to 23 below concern documen	t(s) or information included:				
13.			ment under 37 CFR 1.97 and 1.98.				
14.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
15.		A FIRST preliminary amendment.					
16.		A SECOND or SUBSEQUENT preliminary amendment.					
17.		A substitute specification.					
18.		A power of attorney and/or change of address letter.					
19.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.					
20.			nternational Application under 35 U.S.C. 154(c				
21.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).					
22.	<b>X</b>	Express Mail Label No. EV 351 267 142 US					
23.	$\boxtimes$	Other items or information:					
		Copy of Notification of Missing R Power of Attorney (1 page) Return Receipt Postcard	equirements (2 pages)				

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
	10/526,576		PCT/EP03/08745		112701-610			
	24. The following fees are submitted:		Applicant use	Office use				
	□ a) Basic national fee			\$ \$0.00				
	□ b) Examination fee				\$ \$0.00			
	□ c) Search fee				\$ \$0.00			
	TOTAL OF ABOVE CALCULATIONS = \$1000.00		\$ \$0.00					
	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
	Total Sheets			of each additional 50 of reof (round <b>up</b> to a wh		RATE		
	- 100 =	/50 =				× \$250.00	\$ \$0.00	
		0.00 for furnishing the priority date (37 CFR 1		eclaration later than m	onths	s from the	\$ \$130.00	
	CLAIMS	NUMBER FILE	N C	NUMBER EXTRA		RATE		·
	Total claims	- 2	20 =	0	x	\$50.00	\$ \$0.00	
	Independent clair	ns -	3 =	0	x	\$200.00	\$ \$0.00	
	MULTIPLE DEPE	ENDENT CLAIMS (if a	pplicable)		+	\$360.00	\$ \$0.00	
		TOTAL OF ABOVE CALCULATIONS					\$ \$130.00	
		Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ \$0.00		
l l	· ·				S	UBTOTAL =	\$ \$130.00	
5		Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE:				months from	\$ \$0.00	
	tito ournous oic					ONAL FEE =	\$ \$130.00	
		Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + TOTAL FEES ENCLOSED				\$ \$0.00		
					NCLOSED =	\$ \$130.00		
				Amou	nt to	be refunded:		\$
				Amou	ınt to	be charged:		\$
l l	a. 🛛 A check	in the amount of \$	\$130.00	0 to cover the a	bove f	fees is enclosed		
	b. Please of A duplic	charge my Deposit Acc ate copy of this sheet i	count No.	ir	1 the a	amount of	to cove	r the above fees.
				rge any additional fee: A duplicate cop			red, or credit any overpa	ayment
	_						ecome public. <b>Credit ca</b> and authorization on P	
		n appropriate time li					on to revive (37 CFR 1	.137(a) or (b))
		RESPONDENCE TO:		<				
	Robert M. Barr Customer No.: 2	rett (30,142)				SIGNATURE		
^0./1.2./20 <b>/</b> 0		Robert M			. Barrett			
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01 FC:161	130.00 OP 30,142			TION NUMBER				
	REGISTRA		HON NOMBER					

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Bertholet et al.

Appl. No.: Filed:

10/526,576 March 4, 2005

Title:

PROCESS FOR PREPARING AN OIL CONTAINING ONE OR MORE LONG-CHAIN

POLYUNSATURATED FATTY ACIDS DERIVED FROM BIOMASS; FOODSTUFF OR

NUTRITIONAL, COSMETIC OR PHARMACEUTICAL COMPOSITION CONTAINING IT

Art Unit: Examiner:

Unknown

Docket No.:

Unknown 112701-**5**40

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

### CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Sir:

I hereby certify that the following documents relating to the above-identified application:

- 1. Transmittal Letter (2 pages) (duplicate);
- 2. Copy of Notification of Missing Requirements (2 pages);
- 3. Executed Declaration (3 pages);
- 4. Power of Attorney (1 page);
- 5. Check in the Amount of \$130.00; and
- 6. Return Receipt Postcard.

are being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on September 7, 2005

Respectfully submitted,

BELL, BOYD & LLOYD LLC

EV357542US)

Heather Foster\_

Name of Person Mailing Correspondence

Signature

EV 351 267 142 US

Express Mail Mailing Label Number

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Bertholet et al. 10/526,576

Appl. No.: Filed:

March 4, 2005

Title:

PROCESS FOR PREPARING AN OIL CONTAINING ONE OR MORE LONG-CHAIN

POLYUNSATURATED FATTY ACIDS DERIVED FROM BIOMASS; FOODSTUFF OR NUTRITIONAL, COSMETIC OR PHARMACEUTICAL COMPOSITION CONTAINING IT

Art Unit: Examiner: Unknown

Docket No.:

Unknown 112701-**5**10

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envelope addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on September 7, 2005

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Heather Foster

Name of Person Mailing Correspondence

EV 351 267 142 US

Express Mail Mailing Label Number







## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United Statex Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandria, Viginia 22313-1450 www.unplu.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/526 576	Raymond Bertholet	112701-610

INTERNATIONAL APPLICATION NO.

PCT/EP03/08745

Robert M Barrett Bell Boyd & Lloyd P O Box 1135 Chicago, IL 60690-1135 I.A. FILING DATE PRIORITY DATE 08/07/2003

**CONFIRMATION NO. 3815 371 FORMALITIES LETTER** \*OC000000016489817\*

Date Mailed: 07/11/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/04/2005
- Copy of the International Search Report filed on 03/04/2005
- Copy of IPE Report filed on 03/04/2005
- Preliminary Amendments filed on 03/04/2005
- Oath or Declaration filed on 03/04/2005
- U.S. Basic National Fees filed on 03/04/2005
- Priority Documents filed on 03/04/2005

RECEIVED BELL, BOYD & LLOYD INTELLECTUAL PROPERTY DOCKET

**1 8** 2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION. WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)





# A copy of this notice **MUST** be returned with the response.

### PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

# PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/526.576	PCT/EP03/08745	112701-610

FORM PCT/DO/EO/905 (371 Formalities Notice)